

---

# Contents

---

<b>LIST OF FIGURES</b> . . . . .	xi
<b>LIST OF TABLES</b> . . . . .	xix
<b>INTRODUCTION</b> . . . . .	xxi
<b>CHAPTER 1. LTE STANDARDS AND ARCHITECTURE</b> . . . . .	1
1.1. 3rd generation partnership project (3GPP) . . . . .	1
1.1.1. 3GPP history . . . . .	1
1.1.2. 3GPP, the current organization . . . . .	3
1.1.3. 3GPP releases . . . . .	8
1.2. LTE – numbering and addressing . . . . .	10
1.2.1. The network IDs . . . . .	11
1.2.2. The MME IDs . . . . .	11
1.2.3. The tracking area IDs . . . . .	11
1.2.4. The Cell IDs . . . . .	12
1.2.5. The mobile equipment ID . . . . .	12
1.3. LTE architecture overview . . . . .	13
1.3.1. Overall high level description of LTE . . . . .	14
1.3.2. LTE performance . . . . .	22
1.3.3. LTE – QoS architecture. . . . .	23
1.3.4. FDD, TDD, LTE advanced. . . . .	23
1.3.5. Frequencies for LTE. . . . .	24
1.3.6. Basic parameters of LTE . . . . .	25
1.4. Radio access subsystem: eUTRAN (also called eUTRA). . . . .	26

---

1.4.1. LTE visualization tool from Rohde and Schwartz . . . . .	28
1.4.2. eUTRAN characteristics . . . . .	28
1.4.3. eUTRAN interfaces . . . . .	30
1.4.4. Signaling on the radio path . . . . .	35
1.4.5. Physical layer . . . . .	46
1.4.6. RLC and MAC layer . . . . .	49
1.4.7. Dynamic radio resource management in LTE . . . . .	51
1.4.8. MIMO . . . . .	52
1.4.9. Macrocells, microcells and femtocells . . . . .	53
1.5. Core network . . . . .	54
1.5.1. LTE network elements . . . . .	57
1.5.2. LTE interfaces [TS 23.401] . . . . .	59
1.5.3. Functional split between the E-UTRAN and the EPC . . . . .	69
1.5.4. S1 interface-based handover . . . . .	70
1.6. LTE – roaming architecture . . . . .	83
1.6.1. LTE network mobility management . . . . .	87
1.7. SIM for communications privacy . . . . .	89
1.7.1. SIM . . . . .	89
1.7.2. USIM . . . . .	95
1.7.3. ISIM . . . . .	96
1.8. Glossary . . . . .	96
1.9. Appendix 1: Complete submission of 3GPP LTE release 10 and beyond (LTE-advanced) under step 3 of the IMT-advanced process . . . . .	98
1.9.1. Summary of the candidate submission . . . . .	98
1.9.2. Classification of the candidate submission . . . . .	100
1.9.3. Detailed checklist for the required elements for each candidate RIT within the composite SRIT and/or for the composite SRIT of the candidate submission (to fulfill section 3.1 of ITU-R Report M.2133) . . . . .	100
1.9.4. Additional supporting information . . . . .	102
1.9.5. Contact person . . . . .	102
1.10. Appendix 2: GPRS Tunneling Protocol (GTP) . . . . .	102
1.11. Appendix 3: The SGW implementation by CISCO . . . . .	107
1.12. Appendix 4: AT&T has LTE small cells “in the lab”: Source Dan Janes, Site Editor, Light Reading mobile [JON 13]. . . . .	110

---

<b>CHAPTER 2. OFDMA</b> . . . . .	113
2.1. What is OFDM/OFDMA? . . . . .	113
2.1.1. Claimed OFDMA advantages . . . . .	115
2.1.2. Recognized disadvantages of OFDMA. . . . .	116
2.1.3. Characteristics and principles of operation . . . . .	117
2.2. General principles . . . . .	118
2.2.1. Cyclic prefixes . . . . .	122
2.3. LTE channel: bandwidths and characteristics . . . . .	124
2.3.1. LTE OFDM cyclic prefix, CP . . . . .	125
2.3.2. LTE OFDMA in the downlink. . . . .	126
2.3.3. Downlink carriers and resource blocks. . . . .	127
2.3.4. LTE SC-FDMA in the uplink . . . . .	128
2.3.5. Transmitter and receiver structure of LP-OFDMA/SC-FDMA . . . . .	130
2.4. OFDM applied to LTE. . . . .	132
2.4.1. General facts . . . . .	132
2.4.2. LTE downlink . . . . .	133
2.4.3. Uplink . . . . .	136
2.5. OFDMA in the LTE radio subsystem: OFDMA and SCFDMA in LTE . . . . .	138
2.5.1. The downlink physical-layer processing of transport channels . . . . .	138
2.5.2. Downlink multi-antenna transmission . . . . .	139
2.5.3. Uplink basic transmission scheme . . . . .	140
2.5.4. Physical-layer processing. . . . .	141
2.6. Appendix 1: the constraints of mobile radio . . . . .	143
2.6.1. Doppler effect . . . . .	144
2.6.2. Rayleigh/Rice fading . . . . .	145
2.6.3. Area of service . . . . .	151
2.6.4. Shadow effect . . . . .	153
2.7. Appendix 2: Example of OFDM/OFDMA technological implementation Innovative DSP . . . . .	153
2.8. Appendix 3: LTE error correction on the radio path [WIK 14d] . . . . .	154
2.8.1. Hybrid ARQ with soft combining. . . . .	156
2.9. Appendix 4: The 700 MHz frequencies in the USA for LTE . . . . .	157
2.9.1. Upper and lower 700 MHz. . . . .	158

---

<b>CHAPTER 3. THE FULL IP CORE NETWORK</b> . . . . .	159
3.1. Fixed mobile convergence. . . . .	159
3.2. IP multimedia subsystem . . . . .	160
3.2.1. General description of IMS. . . . .	160
3.2.2. Session Initiation Protocol . . . . .	162
3.2.3. IMS components and interfaces . . . . .	163
3.3. Evolved packet system in 3GPP standards . . . . .	182
3.3.1. Policy and charging rules function . . . . .	182
3.3.2. Release 8 system architecture evolution and evolved packet system. . . . .	184
3.4. Telephony processing . . . . .	192
3.4.1. Enhanced voice quality . . . . .	192
3.4.2. Circuit-switched fallback (CSFB). . . . .	192
3.4.3. Simultaneous voice and LTE (SVLTE) . . . . .	192
3.4.4. Over-The-Top (OTT) applications . . . . .	193
3.5. The requirements of VoLTE and V.VoIP applications . . . . .	195
3.6. Voice and video over LTE are achieved using voice on IP channels (VoLTE). . . . .	196
3.7. Cut down version of IMS . . . . .	201
3.8. Latency management. . . . .	202
3.9. Appendix 1: VoIP tests in UK . . . . .	205
<b>CHAPTER 4. LTE SECURITY. SIM/USIM SUBSYSTEM</b> . . . . .	207
4.1. LTE security. . . . .	207
4.1.1. Principles of LTE security . . . . .	209
4.1.2. LTE EPC security . . . . .	210
4.1.3. Interfaces protection. . . . .	214
4.1.4. Femtocells and relays . . . . .	215
4.1.5. Specifications. . . . .	215
4.2. SIM card . . . . .	216
4.2.1. SIM-lock . . . . .	218
4.2.2. Electronic component of the UICC . . . . .	219
4.2.3. Form factor . . . . .	219
4.2.4. SIM card physical interface . . . . .	221
4.2.5. UICC communication protocol . . . . .	221
4.2.6. Operating system (OS) and virtual machines . . . . .	223
4.2.7. (U)SIM authentication . . . . .	224
4.2.8. LTE USIM . . . . .	225
4.2.9. ISIM . . . . .	226

---

4.2.10. Over the Air Activation (OTA) . . . . .	228
4.2.11. Security services . . . . .	228
4.2.12. USIM directories . . . . .	228
4.2.13. The UICC/SIM/USIM/ISIM industry. . . . .	237
4.2.14. EAP-SIM and EAP. . . . .	237
<b>APPENDIX</b> . . . . .	239
<b>BIBLIOGRAPHY</b> . . . . .	253
<b>INDEX</b> . . . . .	257